

INSTRUCTIONS for this Form:

You may print and fax this form
OR fill it in on-screen and send
the completed form via email.

(Detailed instructions are at the bottom.)



Construction

“We Build Confidence”

SUBCONTRACTOR’S QUALIFICATION STATEMENT

The following qualification information is to be submitted to S&B Construction for its records and information for the sole purpose of evaluating a subcontractor’s qualifications and ability to perform contract work. S&B Construction will hold this information in strict confidence.

This statement is to be submitted prior to or no later than the date and time when bids are due for a specific work item. Failure to submit this may subject your bid to disqualification. Please fax all information to 317-637-2231.

Firm’s Legal Name: _____

Street Address: _____

City, State, and Zip: _____

Contact Name and Title: _____

Telephone: _____ FAX: _____

E-Mail Address: _____

Company’s Web Address: _____

Check One: Corporation Partnership Individual Joint Venture Other

State of Formation: _____ Date Founded: _____

List Firm’s Key Officers and Members Legally Authorized to Execute Documents: _____

Check If Applicable: MBE WBE DBE

1. In what geographic areas do you perform work? _____

2. Have you ever failed to complete any work awarded to you? _____

If yes, explain: _____

3. State annual dollar amount of work your firm and the current number of employees. Also, provide this information for the previous three years.

2007 \$: _____ # Employees: _____
2006 \$: _____ # Employees: _____
2005 \$: _____ # Employees: _____
2004 \$: _____ # Employees: _____

4. Trade References: Please provide the following for vendors with whom your firm does business.

a.) Vendor: _____ Contact Name: _____
Telephone No.: _____ Fax No.: _____
b.) Vendor: _____ Contact Name: _____
Telephone No.: _____ Fax No.: _____
c.) Vendor: _____ Contact Name: _____
Telephone No.: _____ Fax No.: _____

5. Check One: Subcontractor Supplier If a supplier, proceed to Question 13.

6. Is your firm's labor force? Union Non-Union

7. List work items and spec sections your firm performs. _____

8. List the work your firm normally performs with its own labor forces. _____

9. Project References: Please provide for projects performed within last year.

a.) Project Name: _____ General Contractor: _____
Contract Amount: _____ Project Completion Date: _____
Contact Name: _____
Telephone No.: _____ Fax No.: _____
b.) Project Name: _____ General Contractor: _____
Contract Amount: _____ Project Completion Date: _____
Contact Name: _____
Telephone No.: _____ Fax No.: _____
c.) Project Name: _____ General Contractor: _____
Contract Amount: _____ Project Completion Date: _____
Contact Name: _____
Telephone No.: _____ Fax No.: _____
d.) Project Name: _____ General Contractor: _____
Contract Amount: _____ Project Completion Date: _____
Contact Name: _____
Telephone No.: _____ Fax No.: _____
e.) Project Name: _____ General Contractor: _____
Contract Amount: _____ Project Completion Date: _____
Contact Name: _____
Telephone No.: _____ Fax No.: _____

10. Bonding Company:
Company Name: _____
Address: _____
Local Agent: _____
Telephone No.: _____ Fax No.: _____

11. Has your firm ever been cited by OSHA for safety violations? Yes No
If yes, explain. _____

12. Provide a certificate of insurance evidencing adequate coverage is in place.

13. Complete Bank Authorization Release of Information form (attached).

14. Attach a financial statement, including latest balance sheet and income statement.

15. Complete Form W-9 (attached).

Date Prepared: _____ Signature: _____

Printed: _____

Title: _____

BANK AUTHORIZATION RELEASE OF INFORMATION FORM

Bank Name: _____

Address: _____

Telephone No.: _____

Fax No.: _____

Contact: _____

Dear Sir or Madam:

The below signature of an authorized company representative serves as authorization for release of financial information for purposes of a credit inquiry from S & B Construction Company, LLC.

Signature: _____

Printed Name: _____

Title: _____

Company: _____

Request for Taxpayer Identification Number and Certification

Give form to the
requester. Do not
send to the IRS.

Print or type
See Specific Instructions on page 2.

| | |
|---|---|
| Name (as shown on your income tax return) | |
| Business name, if different from above | |
| Check appropriate box: <input type="checkbox"/> Individual/ Sole proprietor <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Other ▶ _____ | |
| <input type="checkbox"/> Exempt from backup withholding | |
| Address (number, street, and apt. or suite no.) | Requester's name and address (optional) |
| City, state, and ZIP code | |
| List account number(s) here (optional) | |

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

| | | | | | | | | |
|------------------------|--|--|--|--|--|--|--|--|
| Social security number | | | | | | | | |
| | | | | | | | | |

or

| | | | | | | | | |
|--------------------------------|--|--|--|--|--|--|--|--|
| Employer identification number | | | | | | | | |
| | | | | | | | | |

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. person (including a U.S. resident alien).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See the instructions on page 4.)

| | | |
|------------------|----------------------------|--------|
| Sign Here | Signature of U.S. person ▶ | Date ▶ |
| | | |

Purpose of Form

A person who is required to file an information return with the IRS, must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

U.S. person. Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee.

In 3 above, if applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

For federal tax purposes, you are considered a person if you are:

- An individual who is a citizen or resident of the United States,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States, or
- Any estate (other than a foreign estate) or trust. See Regulations sections 301.7701-6(a) and 7(a) for additional information.

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,

Click the button below to send this completed form via the Internet, OR print and fax it to 317-637-2231. Pages 4 and 5 are to be signed, then faxed or mailed.